- 1 MR. COLE: The pleading are in the record.
- JUDGE CHACHKIN: All right, Mr. Cole.
- 3 MR. COLE: The staff did not act -- and, I should
- 4 also point out and, I believe, this is correct, but again,
- 5 the record will speak for itself. That Rainbow repeatedly
- 6 advised the Commission in 1991, that it would have the
- 7 station on the air by the end of December 1992.
- 8 Unequivocally, represented in its applications that that was
- 9 its intention.
- Without saying that they needed to have the 316,
- 11 that is, the assignment application from Rainbow
- 12 Broadcasting Company to Rainbow Broadcasting Limited
- granted. In March of 1993, the staff wrote to Rainbow, and
- asked them what the status of construction was. And only at
- that point, for the first time, did Rainbow Company advise
- 16 the staff that, in fact, they weren't in a position to
- 17 build, unless the assignment from Company to Limited was
- 18 granted.
- 19 MS. POLIVY: Your Honor, I object. And I ask that
- 20 that be stricken --
- 21 JUDGE CHACHKIN: How is that erroneous?
- 22 MS. POLIVY: Because that was not what the letter
- 23 said. And, I think, if Mr. Cole wants to rely upon
- 24 statements that were made to the Commission, they ought to
- 25 be presented to us. And for me to sit here -- I won't

- characterize them either.
- 2 But the fact of the matter is, that Rainbow
- 3 Broadcasting Company was ready, willing and able to
- 4 construct the station as Company. They told the Commission
- 5 that they would prefer to use the equity financing of
- 6 Limited, as any other applicant would prefer to do, if the
- 7 opportunity presented itself. But that does not mean that
- 8 Rainbow Broadcasting Company's financial qualifications was
- 9 dependent on Rainbow Broadcasting Limited.
- 10 And the effort to extend this examination into
- Rainbow Broadcasting Limited does nothing. If Rainbow
- 12 Broadcasting Company came in here and said, we were relying
- on Rainbow Broadcasting Limited, that would be one thing.
- 14 Then they would have to show how that was possible. But if
- Rainbow Broadcasting Company says, we have our source of
- 16 funding and this is what we are relying on. If that does
- 17 not include Rainbow Broadcasting Limited, then, obviously,
- 18 the financing of Rainbow Broadcasting Limited is of no
- 19 concern to the issues that have been designated.
- But I do think that if this is going to become a
- 21 question that is pivotal here, then it ought to be presented
- 22 in writing, and we ought to have an opportunity to respond
- 23 to it. And you ought to have the opportunity to have the
- 24 actual pleadings before you.
- JUDGE CHACHKIN: All we are talking about now is

- 1 production of documents.
- MS. POLIVY: That is right, Your Honor.
- JUDGE CHACHKIN: We are not talking about
- 4 admissibility of evidence at this time.
- 5 MS. POLIVY: No, I am not talking about
- 6 admissibility of evidence. I am talking about an ongoing
- 7 effort by press to involve itself in the internal workings
- 8 of Rainbow Broadcasting Limited, which is a competitor.
- 9 Now, unless there is some evidence that this is relevant to
- the issues that the Commission has designated, then I think
- 11 that, clearly, it does not meet the Commission's standard
- 12 for discovery.
- JUDGE CHACHKIN: Well, --
- MS. POLIVY: And I would also note, Your Honor,
- 15 that there is no motion before you regarding Rainbow
- 16 Broadcasting Limited.
- JUDGE CHACHKIN: All I have requested is, number
- 18 five, is copy of tax returns, filed with the IRS for years
- 19 1991, 1992, and 1993 --
- 20 MS. POLIVY: And that is Rainbow Broadcasting
- 21 Company?
- 22 JUDGE CHACHKIN: That is Rainbow Broadcasting
- 23 Company. And I am going to grant that request. As far as
- 24 number six --
- MR. EISEN: Your Honor --

- 1 JUDGE CHACHKIN: I am going to grant number six.
- Number seven I am also going to grant. Eight, there is no
- objection to. Nine, I am going to grant number nine.
- 4 MR. EISEN: Your Honor, are we going back and
- 5 amending what I thought was the agreement that was reached
- at the first pre-hearing conference with regard to the
- 7 scope, time and the financial misrepresentation issue?
- JUDGE CHACHKIN: How am I amending it?
- 9 MR. EISEN: Well, this number nine contemplates a
- 10 date in June of 1994. And my understanding is --
- JUDGE CHACHKIN: No, no, it relates to 1990
- through June 3, 1994. All these document requests are for
- the period November 2, 1990 through June 3, 1994.
- 14 MR. BLOCK: Your Honor, Mr. Eisen's concerned
- 15 maybe about the --
- JUDGE CHACHKIN: That is all we are talking about
- 17 here.
- 18 MR. BLOCK: -- question is to the first three
- 19 months of operation. The document request asks for the
- source of the funds, not when they were expended, but the
- 21 source of the funds for that --
- JUDGE CHACHKIN: I understand that.
- MR. BLOCK: -- so that could very well be a pre-
- 24 operation document.
- MR. EISEN: Well, all I am saying is --

- JUDGE CHACHKIN: Well, the construction didn't
- 2 take place until when?
- 3 MR. EISEN: 1994, June.
- JUDGE CHACHKIN: All right.
- 5 MR. EISEN: That is when the station began
- 6 operating.
- JUDGE CHACHKIN: Well, what is being asked for is
- 8 the documents relating to the source of funds expended by
- 9 Rainbow in constructing the station.
- MR. EISEN: But the key word you use is Rainbow
- 11 because Rainbow Broadcasting Company did not construct the
- 12 station with any funds. It was Rainbow Broadcasting
- 13 Limited.
- 14 MS. POLIVY: And that is not an issue. Your
- 15 Honor, this is what I was trying to point out. The issue
- 16 relates to Rainbow Broadcasting Company. There is no
- 17 question as to how Rainbow Broadcasting Limited constructed
- 18 the station.
- 19 JUDGE CHACHKIN: Mr. Block, do you believe this is
- 20 an issue? Rainbow Broadcasting Limited?
- MR. BLOCK: I believe that the --
- JUDGE CHACHKIN: Are you saying that the same
- 23 source of funds which Rainbow Broadcasting Limited utilized
- 24 was the source of funds which was represented -- is the
- 25 purpose of this to determine whether the source of funds

- 1 expended by Limited matches the source of funds which were
- 2 previously represented by the Company?
- MS. POLIVY: Your Honor, we did not use Rainbow
- 4 Broadcasting Company's source -- Mr. Conant's loan to
- 5 Rainbow Broadcasting Company. And that wasn't -- they are
- 6 totally separate
- JUDGE CHACHKIN: If they are totally separate,
- 8 then how is this relevant? I mean, is anybody urging that
- 9 Rainbow Broadcasting Limited was financial unqualified? Is
- 10 financial unqualified -- did not have a source of funds?
- MS. POLIVY: Well, it built the station.
- 12 JUDGE CHACHKIN: I know that, that is what --
- 13 MR. COLE: That is what is important, Your Honor,
- and again, it may be useful to take a break and get a copy
- of Rainbow Company's April 1993 submission to the
- 16 Commission. And I understand Ms. Polivy may not agree with
- 17 the characterization and I will acknowledge up front that
- 18 the record will stand for itself, and the document will
- 19 speak for itself. But Rainbow Company, having advised the
- 20 Commission repeatedly, that it was ready, willing and able
- 21 to build, and that it intended to go forward and build by
- December of 1992. Not having built in March of 1993, the
- 23 staff inquired of it as to what the status was, and my best
- 24 recollection -- I do not have the document in front of me,
- 25 but I am familiar with it --

1	JUDGE CHACHKIN: This is 1992, now?
2	MR. COLE: No, this is April of 1993.
3	JUDGE CHACHKIN: All right.
4	MR. COLE: In other words, four months beyond
5	Rainbow Company's self-imposed deadline for putting the
6	thing on the air Rainbow Broadcasting Company advised Mr.
7	Pendarvis in a letter, dated April of 1993, to the best of
8	my recollection, and I don't believe I am mischaracterizing
9	it. That Rainbow Broadcasting Company could not build
10	unless the 316 application, providing for an assignment from
11	Rainbow Company to Rainbow Limited was granted.
12	MS. POLIVY: Your Honor, that is a
13	mischaracterization number one. Number two, Rainbow
14	Broadcasting Company told the Commission that they would be
15	on the air within 24 months of their starting construction.
16	The filings with the Commission never said that
17	Rainbow Broadcasting Company was reliant on Rainbow
18	Broadcasting Limited for financing. They never were, and it
19	was never said to the Commission.
20	JUDGE CHACHKIN: If you want to know the documents
21	relating to the source of funds which Rainbow Broadcasting
22	Company intended to rely on, as of specific dates, that they
23	made representations to the Commission, then that would be a
24	proper request and I have no problem with that. But why

don't people ask for specific documents, like you just

25

- 1 mentioned today and said, with respect to your
- 2 representation on such and such, what documents did you
- 3 have, what source of funds did you have available on that
- 4 date that you made this representation and prior to the time
- 5 that you assigned the station to Rainbow Broadcasting
- 6 Limited? Then we would be dealing with relevant documents.
- Now, why do we go across all over the place and
- 8 have a general date and just as the questions which don't
- 9 bear on Rainbow Broadcasting Company, which is what the
- 10 issues concern.
- MR. COLE: Again, Your Honor, the problem that we
- 12 are faced with is the vagueness of the representation --
- JUDGE CHACHKIN: Fine, but why don't we use the
- 14 representations as the key to our requests?
- MR. BLOCK: I believe Mr. Cole has asked that, as
- 16 a matter of fact, in some of his requests.
- JUDGE CHACHKIN: Well, if he did ask for it, then
- 18 that is perfectly appropriate. Required documents showing
- 19 that at each different phase that they wrote a document or
- 20 something, whether in fact, what was the basis for the
- 21 representation that they had funds available?
- 22 MR. BLOCK: I believe the intention of number nine
- 23 was to get at that question inartfully.
- MR. EISEN: Well, that is the problem with them --
- JUDGE CHACHKIN: Well, I agree. The problem

- 1 with -- I have found through the years, the problem is that
- 2 people ask general questions without trying to pin it down
- 3 to specific events and, as a result, they usually wind up
- 4 with not very much. Now, if this was pinned down to this
- 5 representation that was made, and asked, in light of this
- 6 representation, what was the source of funds available as of
- 7 this date, the representation of the next date, whatever,
- 8 that -- Now, I don't know, apparently, Mr. Cole has asked
- 9 that question somewhere along the line here. Maybe we will
- 10 find it.
- But, let me put it this way, I am going to modify
- this question. Unless this has already been presented,
- maybe we already have this answer, I don't know.
- 14 MR. EISEN: We are talking about number nine,
- 15 right Your Honor?
- JUDGE CHACHKIN: Yes, we are talking about number
- 17 nine, yes. And what I am going to require you to produce
- is, any documents that you have showing your ability to
- 19 finance the station prior to the time that the assignment
- 20 took place?
- MR. EISEN: We have no problem with that at all.
- MR. BLOCK: Okay.
- JUDGE CHACHKIN: Well, that is relevant.
- MR. BLOCK: We accept that modification, Your
- 25 Honor.

- JUDGE CHACHKIN: All right.
- MR. COLE: Your Honor, we -- could we ask Rainbow
- 3 what the date of the actual assignment was? I am not sure
- 4 that is a matter of record at this point.
- 5 MS. POLIVY: It is a matter of record, and I
- 6 don't have it with me, but it -- we filed the letter with
- 7 the Commission.
- 8 JUDGE CHACHKIN: I am sure that these will be
- 9 ascertained?
- 10 MR. COLE: That would be the consummation of the
- assignment of the permit from Rainbow Company to Rainbow
- 12 Limited.
- JUDGE CHACHKIN: Right. That is right.
- 14 MS. POLIVY: That was filed with the Commission.
- JUDGE CHACHKIN: Again, 10, I don't see how 10 has
- 16 anything to do with the issue.
- MR. COLE: Okay.
- 18 JUDGE CHACHKIN: I am not going to require 10. I
- 19 will require number 11. This relates only to the company we
- 20 are talking about. Partnership agreement, limited
- 21 partnership agreement, relating to ownership agreement in
- 22 Rainbow or the station. So, I assume, what we are talking
- about here is, any agreements which existed prior to the
- 24 time of the assignment?
- MR. EISEN: Prior to the assignment.

- JUDGE CHACHKIN: Prior to the assignment.
- 2 MR. EISEN: With no starting date with regard to
- 3 the time?
- JUDGE CHACHKIN: I would say, no starting date,
- 5 yes. I assume there was only one agreement in existence up
- 6 to that time. Any ownership interest promised, or
- 7 something, before that --
- 8 MR. EISEN: Yes, it would have been filed as --
- 9 UNIDENTIFIED SPEAKER: Yes, except that -- I think
- 10 that is a matter of record, but what we have to do --
- JUDGE CHACHKIN: Well, if it is a matter of
- 12 record, then you could indicate the parties where such
- records are and, if you don't want to furnish copies.
- 14 At least are there other documents refer to
- 15 property of Rainbow or the station -- again, documents --
- 16 yes, with respect to 12, is yes. And, of course, this is
- 17 all with the understanding that this is all prior to the
- 18 assignment.
- Also 13, yes, with the same understanding. Also
- 20 14, is yes, same understanding. No objection to 15.
- 21 Sixteen, there was an objection to 16 and 17 on the grounds
- 22 that it might -- well, I believe the objection was the word
- 23 work product doctrine.
- MR. EISEN: Yes, it was, Your Honor.
- JUDGE CHACHKIN: And I think the separated trial

Т	stair is I agree with the position that separate trial
2	staff, that while the general rule is as Mr. Eisen, as
3	you indicated, the general rule is that even assuming that
4	it is demonstrated that a showing of substantial need has
5	been made, still and also a showing that there would be
6	undue hardship to obtain such material elsewhere, even when
7	a proper showing is made, the Commission has made clear,
8	consistent with the rule, that the presiding officers should
9	protect against disclosure of the "mental impressions,
10	conclusions, opinions, or legal theories of an attorney or
11	other representative of a party concerning the litigation."
12	However, and the Commission does rely on 26(b)(3),
13	as the court indicated in <u>Handgards</u> , <u>Inc. v. Johnson &</u>
14	<u>Johnson</u> , 413 F.Supp. 926, at 933 to 932 this the Northern
15	District of California, 1976. There is not an absolute
16	immunity from discovery on mental impressions or opinion of
17	work product. Where the attorneys' opinion or information
18	is directly at issue and that is the situation here,
19	where we have an issue here of whether there was an
20	intentional violation of the ex parte rule.
21	And it is necessary to probe the mental
22	impressions of Rainbow's representatives, including its
23	attorneys, in order to make that determination.

out, my judgment of Ms. Polivy as a necessary witness, in

24

25

Also, as I have indicated, and Mr. Block pointed

- 1 light of discussions with Mr. Gordon and her participation
- of the meeting in question. And, therefore, it would have
- 3 to be she would have to testify and there would be -- the
- 4 attorney/client privilege would have to be waived.
- 5 And the case also indicates under those
- 6 circumstances, documents of this nature would be permitted
- 7 to be turned over.
- 8 So, if there are any documents dealing with this
- 9 subject, are going to be required to be turned over.
- MR. EISEN: Very good, Your Honor, we will comply.
- JUDGE CHACHKIN: All right. So I will grant 16
- 12 and 17 and there is no objection to 18 and 19. So the next
- 13 question is, when can these documents be turned over? I
- 14 don't think they are too voluminous.
- MR. EISEN: No, I don't think they are either.
- 16 How about a week?
- 17 JUDGE CHACHKIN: Is that satisfactory to you? A
- 18 week?
- MR. BLOCK: Yes, it is.
- 20 JUDGE CHACHKIN: All right. Now we will take up
- 21 Mr. Cole's first motion, and I think a lot of this has been
- 22 dealt with previously.
- MR. COLE: I believe so, Your Honor.
- JUDGE CHACHKIN: The first request, documents
- relating to a trip taken by RBC principal, Mr. Rey, to

- 1 Washington, D.C. There has been an objection. I have
- 2 difficulty understanding how the dates -- the documents
- 3 reflecting the dates of the travel are going to assist us
- 4 under the issues?
- 5 MR. COLE: Your Honor, again, Mr. Rey's presence
- in Washington -- well, at least contributed to -- we don't
- 7 know what the circumstances were surrounding Mr. Rey's visit
- 8 to Washington. We do know that during his visit to
- 9 Washington, he went over to the Commission and had a meeting
- 10 with the staff.
- JUDGE CHACHKIN: But how is that going to -- the
- date of his -- all documents relating to a trip, including
- documents reflecting the dates of travel?
- 14 MR. EISEN: We would be perfectly willing to
- stipulate he was at this meeting.
- 16 UNIDENTIFIED SPEAKER: We can just tell him that?
- 17 MR. EISEN: It doesn't -- I don't think it is
- 18 relevant.
- 19 JUDGE CHACHKIN: Now, you want documents relating
- 20 to a trip. Now, buy that you mean, if he exchanged any
- 21 correspondence or anything with the Rainbow's counsel?
- 22 MR. COLE: Either principals or Rainbow's counsel
- 23 concerning the trip, what the purpose of the trip was, when
- the trip plans were made, when he actually arrived in
- 25 Washington --

1	MR. EISEN: What is the relevancy to that?
2	MR. COLE: The relevancy is the question of the
3	intent of Rainbow underlying the meeting and other ex parte
4	communications is the focus of the issue. And, obviously,
5	it is entirely possible that the correspondence or other
6	notations or other documents produced in connection with
7	that trip may reflect on Rainbow's intent underlying its ex
8	parte communications.
9	JUDGE CHACHKIN: Well, if there exists any such
10	documents, it could be relevant. If any documents there
11	reflecting on their intent, insofar as visiting the
12	Commission or dealing with
13	MR. EISEN: Insofar as visiting the Commission.
14	JUDGE CHACHKIN: Or what they were contemplating
15	doing concerning the Commission's the staff's rejection
16	of the application for extension of time. Presumably I
17	could see and argument could be made that this is
18	relevant.
19	MS. POLIVY: Your Honor, he is asking for airline
20	tickets and
21	JUDGE CHACHKIN: Well, I don't know if he is
22	asking for airline tickets.
23	MR. EISEN: He has asked for hotel records.
24	JUDGE CHACHKIN: Well
25	MR. EISEN: I mean, what you just said does have
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- 1 MS. POLIVY: Fine. 2 MR. EISEN: Some nexus to the issue. 3 4 JUDGE CHACHKIN: No, he is asking --MR. EISEN: He's backed --5 JUDGE CHACHKIN: No, he is asking for documents 6 7 reflecting the dates of travel. MS. POLIVY: What difference --8 MR. EISEN: Including documents reflecting the 9 hotels, or other lodging places, --10 11 JUDGE CHACHKIN: Well, I am not going to require that part of it. 12 13 MR. EISEN: Okay. JUDGE CHACHKIN: But, I am going to require --14 The rest of these --15 MR. EISEN: 16 JUDGE CHACHKIN: -- any documents --17 MS. POLIVY: Relating to the meeting. JUDGE CHACHKIN: -- relating to the meeting and 18 19 the purpose of the trip, coming to Washington. 20 MR. EISEN: No problem. 21 All right. The next one is --JUDGE CHACHKIN: 22 MR. EISEN: 1F.
 - 25 MR. COLE: 1F --

seeking here, Mr. Cole.

23

24

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JUDGE CHACHKIN: 1F, right. Now, what are you

- JUDGE CHACHKIN: You have a time period now, I
- 2 see. January 25, 1991, is that --
- 3 MR. COLE: It is the filing date of the fifth
- 4 extension request.
- JUDGE CHACHKIN: All right. And you want to know
- 6 whether any documents relating to any communications during
- 7 the pendency of this fifth extension request?
- 8 MR. COLE: That is correct. Or the sixth
- 9 extension request, or the -- any of the captioned
- 10 applications.
- JUDGE CHACHKIN: Any of the -- by applications you
- mean the extension requests?
- MR. COLE: Yes. Well, and there is the assignment
- 14 application, as well.
- MS. POLIVY: Oh, no, Your Honor. I mean, the
- 16 assignment was not put in issue here.
- JUDGE CHACHKIN: Well, the assignment is an issue
- 18 to the extent to which it certainly could have been effected
- 19 by action of the Commission.
- MS. POLIVY: But, Your Honor, the issues go only
- 21 to Rainbow Broadcasting, there are no allegations regarding
- 22 the assignment. The assignment was pendent. And, in fact,
- 23 the first time, the Commission dismissed it as moot.
- JUDGE CHACHKIN: Well, in --
- MS. POLIVY: But there is no separate thing.

- 1 JUDGE CHACHKIN: No one is claiming a separate
- thing. But, necessarily, the fate of RBL is dependent on
- 3 what happens to RBC. And, insofar as where any
- 4 communication is there, considering the connection between
- 5 the two, if there is any communications there relating to
- 6 these extension applications, it seems to me that would be
- 7 relevant. For the ex parte issue.
- 8 MR. EISEN: What about the voucher, Your Honor,
- 9 that the request also calls for all documents without
- 10 breaking it down into anything that is specifically relevant
- under the issue? I objected to it because I thought it was
- 12 grossly over-broad.
- JUDGE CHACHKIN: All right now, by that, what
- 14 document are you looking for? Documents relating to
- 15 communications -- communications relating to what? Relating
- 16 to the grant of these applications or the denial of these
- 17 applications, or what?
- 18 MR. COLE: I want any communications relating to
- 19 the applications?
- MR. EISEN: Well, that is too broad, Your Honor.
- MR. COLE: Well, how do we know that, Your Honor?
- We don't know. And, certainly, we know that there were
- communications between Ms. Polivy and Mr. Gordon. Which Mr.
- 24 Gordon has indicated, sought to get to the merits. And Ms.
- 25 Polivy has denied that.

- But, to the extent that those may be ex parte
- 2 communications in violation of the rules, we are entitled to
- 3 find out about them.
- 4 JUDGE CHACHKIN: So what we are relating to is
- 5 their --
- 6 MS. POLIVY: Your Honor, I am not certain how that
- 7 comes into the request.
- 8 MR. EISEN: So, does that mean that if there
- 9 communication on a matter that had nothing to do with Mr.
- 10 Gordons' processing of the application, that in some way was
- 11 tangent to the application, that we would have to produce
- it? I don't see why Mr. Cole, why press can't, simply,
- 13 narrow the request to be more specific in terms of what
- 14 documents relating these applications are --
- 15 JUDGE CHACHKIN: What subject matter were you
- interested in with respect to the applications -- insofar as
- it applies to the ex parte issue?
- 18 MR. COLE: I am looking for anything relating to
- 19 the applications themselves. If Ms. Polivy called Mr.
- 20 Gordon about a completely unrelated application, now having
- to do with Rainbow, not having to do with Orlando, I don't
- 22 particularly care about that. I am concerned about any
- 23 communications by anyone, by Rainbow, or anyone on its
- behalf, contacting the staff about any of the three
- 25 captioned applications.

- JUDGE CHACHKIN: Well, that is --
- 2 MR. COLE: At any time during the pendency.
- JUDGE CHACHKIN: -- we are dealing only here with
- 4 certain applications, correct?
- 5 MR. EISEN: Correct.
- 6 JUDGE CHACHKIN: And the only thing that it would
- 7 seem to come up as far as extension application, would be
- 8 the deal with, whether or not is should be grated, or it got
- 9 more time, or what have you.
- So it is seems to me it is limited in scope
- 11 sufficient that if there are any documents relating to
- 12 communications, as outlined -- if there are exists. I would
- doubt that there would be any such documents. If there did,
- I would assume that there would be a very narrow amount of
- 15 documents.
- But in any event, I am going to grant this
- 17 request. I think it is --
- MS. POLIVY: Your Honor, --
- 19 JUDGE CHACHKIN: It is sufficient insofar it
- 20 relates to whom the communications are between.
- MS. POLIVY: Your Honor, number one, I understand
- 22 your ruling with respect to little two there, with the FCC.
- 23 But what he is asking for is that any documents relating to
- 24 any of these applications between RBC and anyone acting on
- 25 behalf of RBC. I mean --

- MR. COLE: No, Your Honor, that is a misreading.
- 2 Let me just correct that. The between referred to (1) a
- 3 universal RBC and its representatives, and (2) members of
- 4 the staff. In other words, communications between group one
- 5 and anybody from group two.
- JUDGE CHACHKIN: Well, now it is even limited
- 7 more. We are just talking between --
- 8 MS. POLIVY: That is your little two. But your
- 9 little one, you are saying that we should ignore?
- MR. COLE: I am not saying you should ignore, what
- 11 I am saying is --
- JUDGE CHACHKIN: Well, let's hear -- getting some
- 13 clarification.
- 14 MR. COLE: I'm sorry, Your Honor, I tried to make
- this as clear as I could. What I am saying is, that there
- 16 is communications between --
- JUDGE CHACHKIN: On the one hand --
- MR. COLE: On the one hand, people in this
- 19 category -- anybody from this category. And, on the other
- 20 hand, people in this category.
- JUDGE CHACHKIN: All right.
- MR. COLE: And that is what I tried to communicate
- 23 with little one and little two.
- JUDGE CHACHKIN: So he is saying any
- 25 communications between RBC and its agents or

- 1 representatives, and members of the Commission staff.
- MS. POLIVY: Okay.
- 3 JUDGE CHACHKIN: Now that is pretty limited, I
- 4 would assume.
- 5 MR. COLE: But now, the communications, the
- 6 documents themselves -- let me hasten the point up, may
- 7 involve, for example, Mr. Rey writes to one of his fellow
- 8 principals and says, I understand we talked to the staff
- 9 today and here is the information we got.
- MS. POLIVY: We ex parted the staff and this is
- 11 what they did?
- MR. COLE: A document along those line.
- MR. EISEN: Yes.
- JUDGE CHACHKIN: But there exist such documents,
- 15 you know --
- 16 MR. EISEN: Good luck, but that's fine.
- JUDGE CHACHKIN: All we can do is get
- 18 clarification. All right, request 1H, billing records, the
- 19 firm of Renouf & Polivy, relating to work performed. We
- 20 have Ms. Polivy here now, now what is -- your argument is --
- MS. POLIVY: He could just ask for --
- JUDGE CHACHKIN: Press has not shown the relevance
- of requested records.
- MS. POLIVY: No, but what -- he has asked for --
- our office records. I mean, for what?

- JUDGE CHACHKIN: What would the billing -- well,
- the billing record would reflect information, presumably, of
- 3 what work was performed in connection with these extension
- 4 requests -- request for extensions.
- 5 MS. POLIVY: -- will produce the bills. Is he
- 6 asking for --
- 7 MR. EISEN: That is the problem, Your Honor. It
- 8 is unclear what Press is requesting.
- 9 JUDGE CHACHKIN: All right, what did he express -
- MR. COLE: I am requesting --
- MS. POLIVY: And, also, it is overly broad. I
- 12 mean --
- JUDGE CHACHKIN: We are talking about a one year
- 14 period -- a year and three months.
- MS. POLIVY: Yes, but for any work done on behalf
- of the client. Your Honor, I don't think he is --
- 17 JUDGE CHACHKIN: Well, it would have to be work
- 18 done in connection with the application for extension of
- 19 time.
- 20 MS. POLIVY: Well, it would have to be something
- 21 relevant to the issues. Certainly, not everything --
- MR. COLE: The pending applications.
- MS. POLIVY: Number one, number two --
- MR. EISEN: It is too broad.
- MS. POLIVY: -- it is far too broad. Aside from

- 1 the fact that I am not sure I understand it. But if he is
- 2 saying we want the bills that you sent to Rainbow, that is
- one thing. Then we can discuss that. But if you say, I
- 4 want all your books and records, that's unprecedented.
- JUDGE CHACHKIN: Well, he is asking only on behalf
- 6 of RBC.
- 7 MS. POLIVY: Well, I understand, but --
- 8 MR. COLE: Well, I also have one pending on RBL,
- 9 as well, Your Honor. They are two separate requests.
- JUDGE CHACHKIN: But now we are asking for RBC.
- 11 You want the billing records?
- 12 MR. COLE: That is correct, Your Honor. And the
- 13 reason -- if I could be heard on this.
- JUDGE CHACHKIN: Okay, no problem.
- MR. EISEN: I'm sorry.
- 16 MR. COLE: Your Honor, again, let me just state
- for the record that, having a stereo voice on behalf of
- 18 Rainbow at the other end of the table is not, especially,
- 19 helpful.
- 20 JUDGE CHACHKIN: All right, all right.
- 21 MR. COLE: But that is neither here nor there.
- 22 JUDGE CHACHKIN: What do you expect to find from
- 23 the billing records?
- MR. COLE: I would like to find out when contacts
- were made by anyone at the firm of Renouf & Polivy, with the

- staff, concerning applications. Again, we know, from the
- 2 information already in the record, that there were,
- 3 apparently, communications between, at least Ms. Polivy and
- 4 Mr. Gordon at various point sin the pendency of the
- 5 applications.
- I would like to know when those occurred, how long
- 7 they lasted, I believe these records will show that.
- 8 Normally, at least in my experience, attorneys keep track of
- 9 their time on a daily basis in some increments and reflect
- 10 and maintain records as to what each increment was spent
- on -- each incremental time was spent on.
- 12 And I think that will be relevant to the issue of
- the number of contacts, when they occurred and, possibly,
- 14 what they were about. The bills themselves would not
- 15 necessarily reflect that. The bills, themselves, in many
- instances, might just reflect total amount of time spent
- during a particular period and a bottom-line dollar amount.
- 18 MS. POLIVY: Your Honor, I do not understand why
- 19 the kind of invasion that Mr. Cole is proposing is necessary
- 20 for any of these issues.
- JUDGE CHACHKIN: Well, the billing records would
- 22 provide, possibly, contemporaneous data as to what took
- 23 place with Commission staff and what work was performed by
- 24 the client in connection with any communications with the
- 25 Commission staff.